

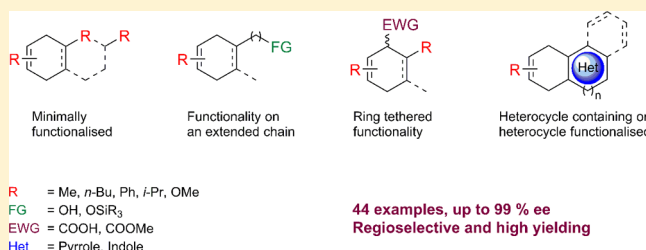
Enantio- and Regioselective Ir-Catalyzed Hydrogenation of Di- and Trisubstituted Cycloalkenes

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S Supporting Information

ABSTRACT: A number of cyclic olefins were prepared and evaluated for the asymmetric hydrogenation reaction using novel N,P-ligated iridium imidazole-based catalysts (Crabtree type). The diversity of these cyclic olefins spanned those having little functionality to others bearing strongly coordinating substituents and heterocycles. Excellent enantioselectivities were observed both for substrates having little functionality (up to >99% ee) and for substrates possessing functional groups several carbons away from the olefin. Substrates having functionalities such as carboxyl groups, alcohols, or heterocycles in the vicinity of the C=C bond were hydrogenated in high enantiomeric excess (up to >99% ee). The hydrogenation was also found to be regioselective, and by controlling the reaction conditions, selective hydrogenation of one of two trisubstituted olefins can be achieved. Furthermore, trisubstituted olefins can be selectively hydrogenated in the presence of tetrasubstituted olefins.



INTRODUCTION

The substituted cyclohexane unit is an important scaffold in natural products and total synthesis.¹ The majority of these motifs have stereogenic centers on the cyclohexane, for example, the sesquiterpenes Eudesmane, Eremophilan, and Frovatriptan, a synthetic drug for the treatment of migraines (Figure 1).²

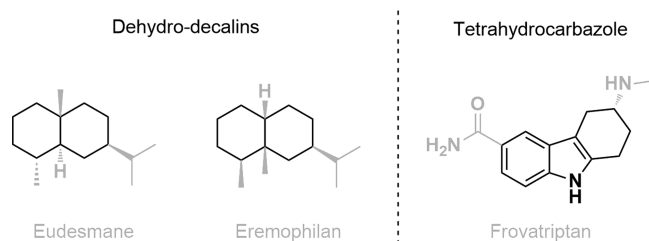


Figure 1. Chiral cyclohexanes in nature.

A number of stereoselective methods have been developed to prepare chiral carbocycles, including cyclohexanes. The most successful involve the use of a chiral catalyst, such as Diels–Alder,³ polyene cyclization, Robinson annulation, and organo-catalyzed domino or cascade reactions.^{1,4} While these reactions are important and have been used to prepare a number of natural products and pharmaceuticals,⁵ their generality in terms of their substrate scope is not optimal. For example, a high degree of functionality in the starting materials is required (domino and to a lesser extent the Diels–Alder), and some are only amenable to the preparation of two or more fused rings

(polyene and Robinson annulation), in addition to the high catalyst loading that accompanies a majority of the organo-catalytic methods (>5 mol%).

In short, there lacks a general method to prepare these important structures having minimal functionality or those which are functionalized and even bearing heterocyclic units. Iridium-catalyzed asymmetric hydrogenation is a mild and efficient method to hydrogenate olefins for the preparation of chiral molecules, typically in high enantiomeric excess (ee). More importantly, using N,P-ligands, minimally functionalized chiral molecules can be prepared in high optical purity and yield. In more recent studies these Ir–N,P catalysts have been successfully used for hydrogenation of substrates having a wide range of functional groups at the olefin. Therefore, one could envisage using the Ir–N,P strategy in the hydrogenation of easily prepared cyclohexene precursors.

Asymmetric hydrogenation as a means of installing stereogenic centers in cyclic systems has been investigated to some extent.⁶ In particular, heterocycles have been hydrogenated with high levels of enantioselectivity.⁷ On the other hand, there are surprisingly few examples for the hydrogenation of unsaturated carbocycles. The asymmetric hydrogenations of 2,3-benzo-fused derivatives (Figure 2) having 1⁸ and 6^{8f,9} substitution resulted in moderate to good enantioselectivity.

Successful examples for the asymmetric hydrogenation of compounds possessing more than one prochiral olefin are very rare^{8d} and have been mainly focused on conjugated 1,3-

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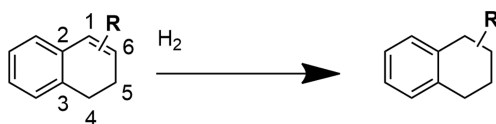


Figure 2. Chiral cyclohexenes by hydrogenation.

dienes;¹⁰ hence, convergent approaches are sometimes employed in total synthesis.¹¹ Therefore, 1,4-cyclohexadiene substrates afford an interesting opportunity for the preparation of multiple stereogenic centers.

In this work, we have developed a facile and enantioselective method to prepare a diversity of chiral cyclic molecules from their corresponding cyclohexenes (classes 1–3, Figure 3).

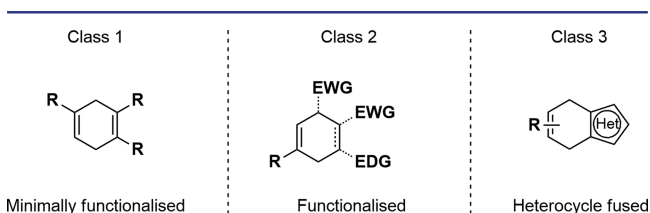


Figure 3. Substrate classes.

DISCUSSION

Ligand design has always been a challenge for asymmetric catalysis. It is often necessary that the electronic and steric properties of the substituents on the ligand can be varied in order to gain optimal enantioselectivity.¹² We have shown previously that substrates of class 1 are hydrogenated in high yield and enantiomeric excess with catalysts **i** and **ii**, bearing a thiazole and imidazole ligand, respectively (Figure 4).¹³

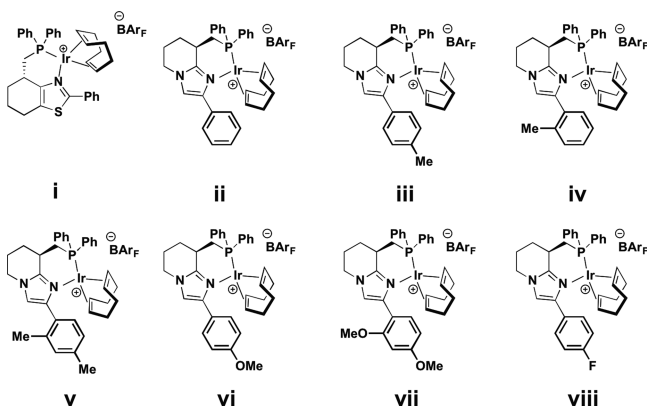


Figure 4. Catalysts used in this study.

Moreover, acid-labile substrates such as enol ethers were tolerated by catalyst **ii**. A surprising outcome since related N,P-ligated iridium catalysts have been shown to produce Ir-polyhydride species in solution when treated with hydrogen,¹⁴ which more recently have been revealed to be appreciably acidic.¹⁵ This prompted us to investigate the influence of the substituent on the imidazole in the asymmetric hydrogenation reaction. The imidazole ligand is biaryl in nature, and biaryls possess an interesting chemistry, particularly with respect to substituent effects.¹⁶ In this study we present the hydrogenation of a broad range of cyclohexene substrates having various

degrees of functionalization (Figure 3) with novel imidazole-based N,P-iridium catalysts (Figure 4).

Some model substrates were chosen to evaluate the imidazole catalysts (Table 1). Monocyclic dihydrobenzene **1a** and tetrahydronaphthalenes **2a** and **3a** are hydrogenated in essentially full conversion using 0.5 mol% catalyst loading. While the reactivity of the catalysts was independent of the substituent on the imidazole, the enantioselectivity showed a strong dependence. Having a 4-methyl substitution (cat-iii) is beneficial, compared to 4-H (cat-ii). Somewhat higher enantioselectivity is observed in most cases. The 2-methyl substituent (cat-iv) has higher enantioselectivity for the naphthalene-type substrates than catalysts **ii** and **iii**; however, significantly lower selectivity was observed for the dihydrobenzene-type substrate (compare entries 2 and 3, cat-ii and cat-iii with entry 1, cat-iv). Having both 2- and 4-methyl substitution (cat-v) offered high selectivity. Comparably high and in some cases significantly higher selectivity was observed compared to catalysts **ii–iv**. Interestingly, having a 4-methoxy substituent (cat-vi) did not result in the same benefits as the 4-methyl (cat-iii), and lower selectivity was obtained compared to cat-ii. The 2- and 4-dimethoxy version (cat-vii), however, furnished the hydrogenated products in excellent enantioselectivity, similarly to the 2,4-dimethyl counterpart, cat-v. It has earlier been documented that substituents on the 2 and 4 position have an impact on the conformation of the biaryl system.^{16,17}

Other substrates, having a minimal functionalization were screened (Table 2). High enantioselectivities were obtained regardless of the substituent on the substrate (Me, *i*-Bu, OMe, *n*-Pent, *i*-Pr, Bn). The 2,4-dimethyl imidazole catalyst **v** performed well, being the most selective catalysts for a majority of the substrates (entries 1–11, 13–16, 18–20, 22, and 23). In only a few instances did other catalysts, such as the thiazole cat-**i** (entries 12, 17, and 21) and the 2,4-dimethoxy cat-**vii** (entries 24 and 25), produce higher enantioselectivities than cat-**v**. In each case, the hydrogenations with cat-**v** proceeded smoothly, tolerating even acid-labile substrates (entries 8–14 and 17–22), problematic substrates for many iridium N,P catalysts. Furthermore, the thermodynamically less stable *trans* isomers are predominantly formed for 1,3-substitutions. This is in line with catalyst control over substrate control, typically observed for N,P-ligated Ir-catalysts.¹⁸

Substrates with side chains bearing functional groups such as OH, OTBDMS, and COOMe (Table 3) were also tolerated and furnished the saturated products in high enantiomeric excess (*trans* isomer). Catalyst **v** provided the best selectivity in most cases, with ee's exceeding 90%.

Substrates having functional groups directly attached to the carbocycle were also screened (Table 4). Interestingly, despite the steric encumbrance that the carboxyl groups impose, fantastic enantioselectivity could be maintained using cat-**v**.

A number of heterocyclic substrates were also evaluated (Table 5). These substrates were found to require higher catalyst loading (1–2 mol%), nevertheless high ee's were obtained (up to 99%) for both 5- and 6- substitutions (indole nomenclature). Catalyst **v** had good selectivity for the Me- and OMe-substituted indoles and carbazoles (entries 1, 4, 5, and 7). However, the longer carbon chains (*n*-Bu, *n*-Hex) on the indole-type substrates were handled better with the thiazole catalyst **i** (entries 2 and 3), except in the case of the carbazole, where catalyst **ii** performed more satisfactorily (entry 6). Having a thiophene unit as a substituent was well tolerated by

Table 1. Evaluation of Catalysts in the Asymmetric Hydrogenation of Unsaturated Carbocycles^{a-c}

Entry	Substrate	Product	i		ii		iii		iv		v		vi		vii		viii	
			conv.	ee (%)	conv.	ee (%)	conv.	ee (%)	conv.	ee (%)	conv.	ee (%)	conv.	ee (%)	conv.	ee (%)	conv.	ee (%)
1			99	92	99	79	99	81	99	67	99	93	99	65	59^d	94	99	35
2			99	87	99	65	99	62	99	81	99	86	99	43	99	94	99	17
3			99	92	99	65	99	78	99	88	99	84	99	59	99	92	99	26

^aReaction conditions: 0.125 mmol of substrate, 0.5 mol% catalyst, 1 mL of CH₂Cl₂, 50 bar of H₂, 17 h, rt, unless stated otherwise in the [Supporting Information](#). ^bAll examples are hydrogenated to full conversion where enantioselectivity is reported, which was determined by ¹H NMR spectroscopy. No side products were detected. ^cDetermined by HPLC or GC analyses using a chiral stationary phase. ^dOnly starting material was detected other than the product.

Table 2. Asymmetric Hydrogenation of Minimally Functionalized Carbocycles (Class 1)^a

Entry	Substrate	Product ^b	Catalyst	Yield (%) ^c	ee (%) ^d	Entry	Substrate	Product ^b	Catalyst	Yield (%) ^c	ee (%) ^d
2			v	99 ^{e,f}	94	15			v	99 ^e	94
3			v	99 ^{e,f}	96	16			v	99 ^e	99
4			v	76 ^f	99	17			i	99 ^e	98
5			v	91	99	18			v	99 ^e	92
6			v	81	99	19			v	99 ^e	98
7			v	99 ^e	99	20			v	82	98
8			v	99 ^e	99	21			i	98	99
9			v	99 ^{e,f}	99	22			v	72	99
10			v	71 ^f	98	23			v	97	93
11			v	68	99	24			vii	98	94
12			i	81	98	25			vii	98	92
13			v	95	99						

^aReaction conditions: 0.125 mmol of substrate, 0.5 mol% catalyst, 1 mL of CH₂Cl₂, 50 bar of H₂, 17 h, rt, unless stated otherwise in the [Supporting Information](#). ^bPredicted absolute stereochemistry for the major product (>90% *trans* observed where applicable, unless otherwise stated). ^cIsolated yield unless otherwise specified. ^dDetermined by HPLC or GC analyses using a chiral stationary phase. ^eConversion determined by ¹H NMR spectroscopy. ^fSelectivity to *trans*, <80%.

Table 3. Asymmetric Hydrogenation of Unsaturated Carbocycles Having Non-Ring-Bound Functional Groups (Class 1 extended)^a

Entry	Substrate	Product ^b	Catalyst	Yield (%) ^c	ee (%) ^d
1			v	84	99
2			v	86 ^e	99
3			v	87 ^e	99
4			ix ^f	97 ^e	92
5			v	79 ^e	97

^aReaction conditions: 0.125 mmol of substrate, 0.5 mol% catalyst, 1 mL of CH₂Cl₂, 50 bar of H₂, 17 h, rt, unless stated otherwise in the Supporting Information. ^bPredicted absolute stereochemistry for the major product (>90% *trans* observed where applicable, unless otherwise stated). ^cIsolated yield. ^dDetermined by HPLC or GC analyses using a chiral stationary phase. ^eSelectivity to *trans* <80%. ^fSee the Supporting Information.

Table 4. Asymmetric Hydrogenation of Unsaturated Carbocycles Having Ring-Bound Functional Groups (Class 2)^a

Entry	Substrate	Product ^b	Catalyst	Yield (%) ^c	ee (%) ^d
1			i	91 ^e	99
2			x ^f	98 ^e	99
3			v	98 ^g	99
4			v	99 ^h	97

^aReaction conditions: 0.125 mmol of substrate, 0.5 mol% catalyst, 1 mL of CH₂Cl₂, 50 bar of H₂, 17 h, rt, unless stated otherwise in the Supporting Information. ^bPredicted absolute stereochemistry for the major product (>90% *trans* observed where applicable, unless otherwise stated). ^cIsolated yield unless otherwise specified. ^dDetermined by HPLC or GC analyses using a chiral stationary phase. ^e>95% *trans*-dimethyl product. ^fSee the Supporting Information. ^gFor both *cis*-fused diastereomers (1:1). No kinetic resolution was observed in the product mixture even at 10% conversion. ^hConversion determined by ¹H NMR spectroscopy.

catalyst **i** (entry 8). No reduction of the pyrrole (entries 1–6), indole (entry 7), or thiophene (entry 8) was observed in any case.

For a majority of the examples, hydrogenations were clean, furnishing the products in high yield. However, in some cases

Table 5. Asymmetric Hydrogenation of Unsaturated Carbocycles Having Fused Heterocycles (Class 3)^a

Entry	Substrate	Product ^b	Catalyst	Yield (%) ^c	ee (%) ^d
1			v	98	99
2			i	85	90
3			i	83	92
4			v	99 ^e	99
5			v	99 ^e	99
6			ii	98	90
7			v	99 ^e	99
8			i	99 ^e	99

^aReaction conditions: 0.125 mmol of substrate, 0.5 mol% catalyst, 1 mL of CH₂Cl₂, 50 bar of H₂, 17 h, rt, unless stated otherwise in the Supporting Information. ^bPredicted absolute stereochemistry for the major product (>90% *trans* observed where applicable, unless otherwise stated). ^cIsolated yield unless otherwise specified. ^dDetermined by HPLC or GC analyses using a chiral stationary phase. ^eConversion determined by ¹H NMR spectroscopy.

when bulky substituents were present, reactions did not go to completion, and some ketone accompanied the hydrogenated enol ether products. These impurities were readily removed by flash chromatography.

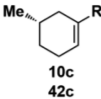
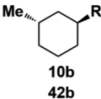
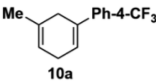
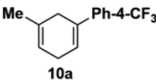
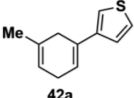
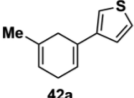
Regioselectivity. Hydrogenations were observed to be regioselective, affecting only the di- and trisubstituted olefins at 50 bar of H₂. In addition to these findings, it was observed that control of the conditions using these new imidazole catalysts allowed for regioselectivity in the hydrogenation of two different trisubstituted olefins. This is in contrast to the relatively non-bulky Crabtree catalyst, which readily hydrogenates even more hindered olefins such as tetrasubstituted C=C groups.¹⁹ Compound **10a** (Table 6), bearing two trisubstituted olefins, was hydrogenated in full conversion to the corresponding saturated alkane **10b** with 50 bar H₂ over 17 h (entry 1). If the reaction time is lowered to 30 min and the reaction is carried out under a H₂ pressure of 5 bar, exclusively, the styrene-like intermediate **10c** is observed (entry 2).

The hydrogenation of compound **42a** was also observed to be amenable to regioselectivity reduction by varying the conditions of the reaction. At 50 bar of H₂, hydrogenation of both olefins takes place in 17 h (entry 3, **42b**). However, by lowering the reaction time to 5 h, the intermediate **42c** bearing the vinyl thiophene unit is obtained predominantly with good selectivity (entry 4).

Aside from the obvious synthetic advantages to this regioselectivity, the relatively simple means to bring it about further caters to the utility of the methodology.

Mechanism and Stereoselectivity. The mechanism of the asymmetric hydrogenation of trisubstituted olefins

Table 6. Regioselective Hydrogenations^a

		pH ₂ / bar	time/ hrs		
				10c 42c	10b 42b
1		50	17	0	99 (99% ee)
2		5	0.5	94 (99% ee)	6
3		50	17	0	99 (99% ee)
4		50	5	83 (99% ee)	17

^aReaction conditions: 0.125 mmol of substrate, 0.5 mol% catalyst **v**, 1 mL of CH₂Cl₂. Conversion determined by ¹H NMR spectroscopy. Enantioselectivity determined by HPLC or GC analyses using a chiral stationary phase.

mediated by iridium N,P and C,N cationic catalysts has been extensively studied by means of DFT calculations, and a catalytic cycle involving Ir^{III}/Ir^V has been proposed.²⁰ The likelihood of this reaction pathway has been supported both by more recent computational investigations²¹ and by experimental NMR studies by Pfaltz,²² reporting the identification of a fundamental intermediate, an Ir^{III} dihydride alkene complex. This species was shown to represent a resting state of the catalyst, requiring the coordination of an additional dihydrogen molecule, prior to the enantioselective migratory insertion step, generating intermediate **A** (Figure 5a).

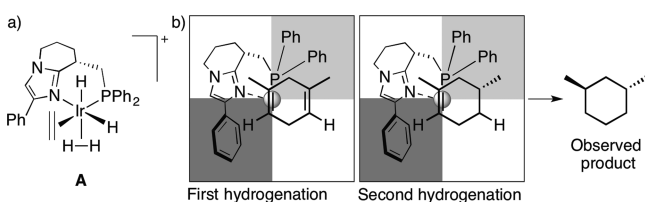


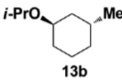
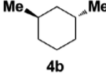
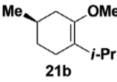
Figure 5. Selectivity model.

The understanding of the reaction mechanism and the observation of the structure of intermediate **A** enabled the development of a selectivity model, aimed to rationalize the stereochemical outcome of the asymmetric hydrogenation.²³ This quadrant model has proven able to predict the absolute configuration of the major enantiomer for a wide variety of saturated products. It is also suitable to explain the *trans* preference observed in the hydrogenation of cyclohexadienes.¹³ The results suggest that the first hydrogenation product is dissociated and then coordinated again from the favored face of the second double bond, hence generating preferentially the *trans* isomer of the corresponding cyclohexane (Figure 5b).

As shown in Figure 5, the aryl substituent on the imidazole partly occupies the coordination site of the olefin. Ligands having both 2 and 4 substituents might lead to a better control in the coordination due to their larger steric size. In addition, substituents in the 2 and 4 position are known to have an impact on the conformation of the biaryl rings, which could also have an influence on the enantioselectivity.^{16,17}

The absolute configuration of three different cyclohexanes (Table 7) was assigned comparing their values of optical rotation to those available in the literature.²⁴ For all of the three cases, it was found that the major produced enantiomer

Table 7. Assigned Absolute Configurations^a

		Absolute configuration	
		predicted from mechanistic model	observed
1		(R)	(R)-(-) ^{22a}
2		(R)	(R)-(+) ^{22b}
3		(R)	(R)-(+) ^{b, 22c}

^aAfter hydrogenation employing catalyst (*S*)-**v** (entries 1 and 2) or catalyst **i** (entry 3). ^bSee the Supporting Information for experimental details.

matches the configuration estimated according to the selectivity model.

CONCLUSION

A number of cyclic prochiral olefins were hydrogenated successfully (>99 conversion, up to >99% ee) using novel N,P-ligated iridium catalysts. The substituent on the aryl ring flanking the imidazole ring had a significant influence on the enantioselectivity of the catalyst. It was observed that having a 2,4-dimethyl aryl substitution furnished the best catalyst, tolerating a broad scope of cyclic substrates and furnishing the products with high enantioselectivity. Minimally functionalized substrates (class 1) and those having functional groups not directly attached to the cycle were hydrogenated rapidly and in high ee. Substrates having functional groups and heterocycles attached to the unsaturated cycle were hydrogenated more slowly; however, high enantioselectivity was maintained. It was also possible to attain remarkable regioselectivity between two trisubstituted olefins on cyclic diene structures, a goal met by few catalysts so far. Mechanistic insight aided in rationalizing the stereochemical outcome of the reaction, and the observed absolute configurations were found to be in agreement with the selectivity model.

ASSOCIATED CONTENT

Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/jacs.6b07291.

Characterization of novel compounds, NMR spectra, and chromatographic data (PDF)

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Notes

The authors declare no competing financial interest.

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